

# Optimal Estimation of Mass Partition in a Centrifugal Separator by Metallurgical Balance

Sidney A. A. Viana

Abstract — Mineral processing plants make use of several types of equipments for size separation (size classification) to segregate ore particles by size. A particular type of such equipments is the centrifugal separator, which is intended to receive an input stream of ore slurry to be partitioned into two output streams: a coarse and a fines one. The coarse stream contains most of the coarse solids particles of the slurry, whereas the fines stream contains most of the fine particles. Although a centrifugal separator intends to perform a physical segregation of the solids particles by their size, a chemical segregation also results, in such a way that the chemical content of the coarse and the fines streams are normally different from the content of the input stream. When evaluating the performance of the separation process, three fundamental aspects should be analyzed: 1) the size distribution of the solids particles in each stream; 2) the amount of solids mass from the input stream that goes to the coarse stream and to the fines stream, that is, the mass partition; and 3) the chemical content of each stream. This work presents the application of the Least Squares method of optimization to calculate the mass partition, based on the measured chemical content of the streams, and on the metallurgical balance equations of the separation process.

*Index Terms* — least squares, mass balance, mass partition, metallurgical balance, size separation, optimization.

## I. INTRODUCTION

**B**AUXITE ore is the basic raw material for the aluminium production chain [1], which has four major steps, normally implemented as separate industrial plants: 1) processing of the raw ore from the bauxite mines, to produce concentrated ore; 2) refining the concentrated bauxite ore through the Bayer process [2], to produce high-purity smelter-grade alumina; 3) electrolytic reduction of the smelter-grade alumina in electrolytic furnaces, to produce primary aluminium in the form of ingots, billets, or slabs; and 4) smelting and conformation of the primary aluminium, to generate aluminium products.

The main chemical compound of interest in the bauxite ore is the alumina  $(Al_2O_3)$ , but some other chemicals are also

considered to characterize the chemical profile of the ore: silica (SiO<sub>2</sub>), iron dioxide (FeO<sub>2</sub>), and titanium dioxide (TiO<sub>2</sub>). The concentrations of chemicals in an ore can be measured by specific methods like X-ray mass spectrometry, atomic absorption spectroscopy, inductively coupled plasma spectrometry, or chemical reaction methods. Moreover, a certain amount of the content is characterized as "loss on ignition" (LoI), which represents the amount of moisture or impurities lost when the ore is ignited under specific conditions. For purposes of chemical content characterization, the LoI can be regarded as an additional chemical compound.

The Bayer process is the most widely used in industrial scale to produce high-purity alumina from bauxite ore. Only part of the total alumina in the ore, called *valuable alumina*, can be extracted by reagents in the Bayer process, to produce smeltergrade alumina. And conversely, a certain portion of the total silica in the ore, known as *reactive silica*, is a harmful compound because it competes with the valuable alumina by the reagents, thus impairing the extraction of this latter.

Due to this fact, bauxite ore plants are required to produce, as input for the Bayer process plants, concentrated ore with higher content of valuable alumina and lower content of reactive silica. This requirement should be extended to all mineral processing equipments in an ore concentration plant. Another important requirement is that the equipments should be able to convert most of the feeding (input) ore into product (output), thus reducing the amount of reject (waste) material. The ratio between the product and the feeding masses is referred as massic recovery, and is a measure of equipment performance.

In this context, this article describes the determination of the mass partition performed by a centrifugal separator [3]. The main contribution of this work is to demonstrate how to determine the the massic recovery of a mineral processing equipment from chemical compound measurements of the streams, when it is not feasible or impractical to directly measure the mass of ore in the streams. The article is organized as follows: Section II presents the material processing performed by a centrifugal separator with three streams (feeding, product, and reject). Section III the metallurgical

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balance problem relating the mass partition and the chemical compound measurements of the streams. Section IV describes the solution of the metallurgical balance problem using an optimization method, in order to compute the mass partition performed by the centrifugal separator. Finally, Section V discuss the results obtained.

## II. PROCESSING OF BAUXITE ORE IN A CENTRIFUGAL SEPARATOR

Mineral processing plants make use of several types of size classification (size separation) equipments to segregate ore particles, either in the form of bulk solids or slurries, by size [4]. Size separation is needed due to several reasons like: 1) to feed coarse particles back to breakage processes; 2) to meet product size requirements; or 3) to segregate different mineral species in the ore to meet chemical content requirements. For the processing of ore slurries, a particular type of classification

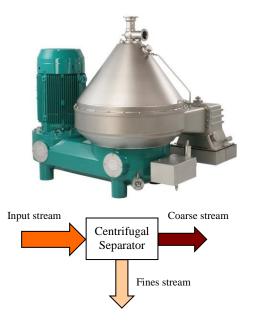
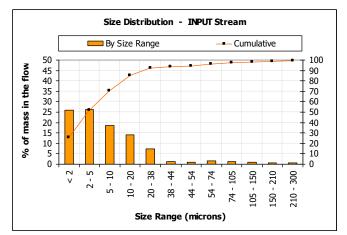
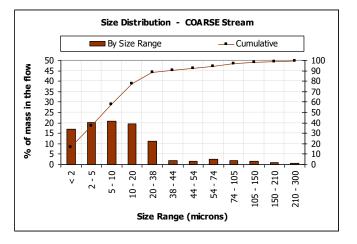


Fig. 1. A centrifugal separator for industrial applications [3] and its block diagram.

equipment is the centrifugal separator [3], which is intended to divide an *input stream* of ore slurry into two output streams: a *coarse stream* and a *fines stream*. The coarse stream contains most of the coarse solids particles of the slurry, whereas the fines stream contains most of the fine particles. Fig. 1 shows an example of centrifugal separator for industrial applications, and a block diagram of its separation process.

The size separation process performed by a centrifugal separator (indeed, by any type of separation equipment) is not perfect, so that it will exist a certain amount of fine particles in the coarse stream, as well as a certain (although very much lower) amount of coarse particles in the fines stream, as illustrated in Fig. 2. The main goal of a centrifugal separator is to produce a coarse stream with considerably less fine particles than the input stream. The size distribution of the particles in the coarse and the fines stream will depend not only on the separation performance of the equipment, but also on the size





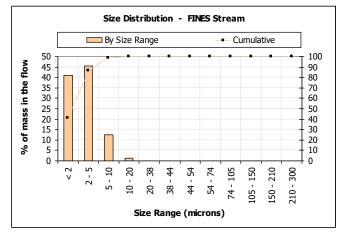


Fig. 2. Particle size distribution of each stream for the centrifugal separator.

distribution of the input stream.

Although a centrifugal separator intends to perform a *physical segregation* of the solids particles by their size, a *chemical segregation* also results, in such a way that the chemical contents of the coarse and the fines streams are normally different from the content of the input stream. This is because the coarse particles typically result from the hardest mineral species in the ore, whereas the fine particles result from the softest mineral species. The main mineral species that form our bauxite ore are the gibbsite and the kaolinite. The first is

Test Stream									
Input 43,529 19,060 13,928 2,087 20,950 0,446 100,000   T-01 Coarse 46,691 15,614 13,143 2,119 22,353 0,080 100,000   Fines 37,089 28,686 16,149 2,093 13,162 2,821 100,000   T-02 Coarse 46,681 14,740 12,596 1,982 22,752 1,249 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   Fines 36,754 27,594 15,931 2,050 16,942 -0,839 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   Fines 40,017 26,106 15,990	Test	Stroom	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	FeO <sub>2</sub>	TiO <sub>2</sub>	LoI	Residue	Total
T-01 Coarse Fines 46,691 15,614 13,143 2,119 22,353 0,080 100,000   Fines 37,089 28,686 16,149 2,093 13,162 2,821 100,000   T-02 Coarse 46,681 14,740 12,596 1,982 22,752 1,249 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   T-03 Coarse 47,649 13,595 12,470 2,165 23,330 0,791 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse		Sueam	%	%	%	%	%	%	%
Fines 37,089 28,686 16,149 2,093 13,162 2,821 100,000   T-02 Coarse 46,681 14,740 12,596 1,982 22,752 1,249 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   T-03 Coarse 47,649 13,595 12,470 2,165 23,330 0,791 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   T-04 Coarse 47,300 15,705 13,957 1,999 20,741 0,686 100,000   T-05 Coarse 49,449 12,299 12,200 2,182 23,660 0,210 100,000   T-06 Coa		Input	43,529	19,060	13,928	2,087	20,950	0,446	100,000
Input 43,212 19,383 13,804 1,963 20,698 0,940 100,000   T-02 Coarse 46,681 14,740 12,596 1,982 22,752 1,249 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   T-03 Coarse 47,649 13,595 12,470 2,165 23,330 0,791 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse 49,449 12,299 12,200 2,182 23,660 0,210 100,000   T-05 Co	T-01	Coarse	46,691	15,614	13,143	2,119	22,353	0,080	100,000
T-02 Coarse Fines 46,681 14,740 12,596 1,982 22,752 1,249 100,000   Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   T-03 Coarse 47,649 13,595 12,470 2,165 23,330 0,791 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   T-04 Coarse 47,300 15,705 13,957 1,999 20,741 -0,686 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   Fines		Fines	37,089	28,686	16,149	2,093	13,162	2,821	100,000
Fines 37,650 28,117 16,032 2,063 12,844 3,294 100,000   T-03 Coarse 47,649 13,595 12,470 2,165 23,330 0,791 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   Fines 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   Fines 40,017 26,106 15,990		Input	43,212	19,383	13,804	1,963	20,698	0,940	100,000
Input 43,035 19,841 14,067 2,060 20,453 0,544 100,000   T-03 Coarse 47,649 13,595 12,470 2,165 23,330 0,791 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   Fines 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,811 <td< td=""><td>T-02</td><td>Coarse</td><td>46,681</td><td>14,740</td><td>12,596</td><td>1,982</td><td>22,752</td><td>1,249</td><td>100,000</td></td<>	T-02	Coarse	46,681	14,740	12,596	1,982	22,752	1,249	100,000
T-03 Coarse Fines 47,649 13,595 12,470 2,165 23,330 0,791 100,000   Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Coarse 47,300 15,705 13,965 2,002 20,495 2,005 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 24,649 1,185 100,000   Fines <t< td=""><td></td><td>Fines</td><td>37,650</td><td>28,117</td><td></td><td>2,063</td><td></td><td></td><td>100,000</td></t<>		Fines	37,650	28,117		2,063			100,000
Fines 38,322 27,594 15,931 2,050 16,942 -0,839 100,000   T-04 Input 42,530 19,103 13,865 2,002 20,495 2,005 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   Fines 45,007 1		Input	43,035	19,841	14,067	2,060	20,453	0,544	100,000
Input 42,530 19,103 13,865 2,002 20,495 2,005 100,000   T-04 Coarse 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   Fines 45,007 11,604 19,811 1,487 26,722 0,464 100,000   Fines 45,007 11,604	T-03	Coarse	47,649	13,595	12,470	2,165	23,330	0,791	100,000
T-04 Coarse Fines 47,300 15,705 13,096 2,155 22,416 -0,672 100,000   Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Coarse 53,743 5,322 11,219 1,485 26,192 3,102 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   T-07 Coarse 53,190 6,025 12,108 1,491 26,722 0,464 100,000   Fines 45,007		Fines	38,322	27,594	15,931	2,050	16,942	-0,839	100,000
Fines 36,754 27,650 16,119 2,052 16,482 0,943 100,000   T-05 Coarse 49,449 12,299 13,957 1,999 20,741 0,686 100,000   Fines 40,017 26,106 15,990 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,811 17,751 1,707 23,681 0,248 100,000   Fines 46,632 10,811 17,551 1,707 23,681 0,248 100,000   Fines 45,007 11,604 19,811 1,495 26,449 1,185 100,000   T-08 Coarse 53,190 6,025 12,108 1,491 26,722 0,464 100,000   T-08 Coarse 52,699 6,27		Input	42,530	19,103	13,865	2,002	20,495	2,005	100,000
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T-05 Coarse Fines 49,449 12,299 12,200 2,182 23,660 0,210 100,000   Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Fines 50,065 6,431 12,745 1,465 26,192 3,102 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   T-07 Coarse 53,190 6,025 12,108 1,495 26,429 1,185 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines		Fines	36,754	27,650	16,119	2,052	16,482	0,943	100,000
Fines 40,017 26,106 15,990 2,044 17,714 -1,871 100,000   T-06 Input 50,065 6,431 12,745 1,465 26,192 3,102 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   T-07 Coarse 53,190 6,025 12,108 1,495 26,449 1,185 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   Fines 45,007 11,604 19,811 1,847 26,389 0,573 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546		Input		18,770	13,957	1,999	20,741	0,686	100,000
Input 50,065 6,431 12,745 1,465 26,192 3,102 100,000   T-06 Coarse 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   T-07 Coarse 53,190 6,025 12,108 1,491 26,722 0,464 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   Fines 45,007 11,604 19,811 1,447 26,389 0,593 100,000   Fines 45,007 11,604 19,811 1,477 26,489 0,572 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546 21,83	T-05	Coarse	49,449	12,299	12,200	2,182	23,660	0,210	100,000
T-06 Coarse Fines 53,743 5,322 11,219 1,487 27,138 1,091 100,000   Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   T-07 Input 51,279 6,580 13,012 1,495 26,449 1,185 100,000   T-07 Coarse 53,190 6,025 12,108 1,491 26,722 0,464 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   Fines 45,007 11,604 19,811 1,477 26,389 0,593 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   T-09 Coarse 47		Fines	40,017	26,106	15,990	2,044	17,714	-1,871	100,000
Fines 46,632 10,181 17,551 1,707 23,681 0,248 100,000   T-07 Input 51,279 6,580 13,012 1,495 26,449 1,185 100,000   T-07 Coarse 53,190 6,025 12,108 1,491 26,722 0,464 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546 21,831 1,960 19,584 2,590 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000		Input	50,065	6,431	12,745	1,465	26,192		100,000
Input 51,279 6,580 13,012 1,495 26,449 1,185 100,000   T-07 Coarse 53,190 6,025 12,108 1,491 26,722 0,464 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000	T-06	Coarse	53,743	5,322	11,219	1,487	27,138	1,091	100,000
T-07 Coarse Fines 53,190 6,025 12,108 1,491 26,722 0,464 100,000   Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000		Fines	46,632	10,181	17,551	1,707	23,681	0,248	100,000
Fines 45,007 11,604 19,811 1,847 22,256 -0,525 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000	T-07	Input					26,449		100,000
Input 51,685 6,697 13,137 1,499 26,389 0,593 100,000   T-08 Coarse 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Input 40,576 20,437 14,853 1,960 19,584 2,590 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000		Coarse	53,190	6,025	12,108	1,491	26,722	0,464	100,000
T-08 Coarse Fines 52,699 6,273 12,490 1,477 26,489 0,572 100,000   Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Input 40,576 20,437 14,853 1,960 19,584 2,590 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000		Fines	45,007	11,604	19,811	1,847	22,256	-0,525	100,000
Fines 41,489 13,546 21,831 1,949 20,713 0,472 100,000   Input 40,576 20,437 14,853 1,960 19,584 2,590 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000	T-08	Input	51,685	6,697		1,499	'	,	100,000
Input 40,576 20,437 14,853 1,960 19,584 2,590 100,000   T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000		Coarse	52,699	6,273	12,490	1,477	26,489	0,572	100,000
T-09 Coarse 47,590 13,129 13,657 1,946 23,410 0,268 100,000		Fines	41,489	13,546	21,831	1,949	20,713	0,472	100,000
	T-09	Input					19,584	2,590	100,000
Fines <b>40,270 23,345 15,782 2,048 18,463</b> 0,092 100,000		Coarse	47,590	13,129	13,657	1,946	23,410	0,268	100,000
		Fines	40,270	23,345	15,782	2,048	18,463	0,092	100,000

TABLE I

MEASURED CHEMICAL CONTENTS OF EACH STREAM IN A CENTRIFUGAL SEPARATOR, FOR NINE MEASUREMENT TESTS.

hard and contains most of the valuable alumina, while the latter is soft and contains most of the reactive silica.

Table I shows the chemical contents of each stream in a centrifugal separator, measured by inductively coupled plasma spectrometry, for nine tests. Note that, for each stream, the sum of the concentrations of all its chemicals, including the LoI, does not result equal to the ideal value 100%. This is due to: 1) the existence of other disregarded chemicals with very small concentration values, which are not representative of the ore, and so were not taken into account in the content measurements; and 2) measurement errors from the plasma spectroscopy (even if those errors are very small, they will actually exist). The values that make the sum of the concentrations of all the chemicals exactly equal to 100% are the *residue* values.

#### III. THE METALLURGICAL BALANCE PROBLEM

Let  $\varphi$  denotes any chemical compound of interest in the bauxite ore, that is,  $\varphi \in \{Al_2O_3, SiO_2, FeO_2, TiO_2, "LoI"\}$ . Moreover, let  $m_I, m_C$ , and  $m_F$  denote the input, coarse, and fine solids mass, respectively; and  $c_I, c_C$ , and  $c_F$  denote the concentration of any chemical compound  $\varphi$  in the input, coarse, and fines stream.

The performance of a mineral processing equipment is often characterized by its *metallurgical recovery*, which is a measure of how much a chemical compound pass from the input stream to an output stream. As an example, the metallurgical recovery of alumina to the coarse stream is given by:

$$r_{C(AI)} = \frac{c_{C(AI)}}{c_{I(AI)}} \times \frac{m_{C(AI)}}{m_{I(AI)}}$$
(1)

In a generic form, the metallurgical recoveries of any chemical compound  $\varphi$ , for the coarse and the fines stream are, respectively:

$$r_{C(\varphi)} = \frac{c_{C(\varphi)}}{c_{I(\varphi)}} \times \frac{m_{C(\varphi)}}{m_{I(\varphi)}}$$
(2)

$$r_{F(\varphi)} = \frac{c_{F(\varphi)}}{c_{I(\varphi)}} \times \frac{m_{F(\varphi)}}{m_{I(\varphi)}}$$
(3)

As described in the previous section, although a centrifugal separator performs a physical segregation of the solids particles by their size, a chemical segregation also results. If each stream in a separator is characterized by its solids mass and its chemical content, a problem that arises is: by knowing (measuring) the chemical content of the three streams, is it possible to determine the solids mass in each stream, allowing the calculation of the metallurgical recovery? To answer this question, one needs to understand the material balance equations of the separation process. As the input mass  $m_I$  is partitioned by the centrifugal separator to the coarse solids mass inside of the equipment, the following mass balance relationship must be satisfied:

$$m_C + m_F = m_I \tag{4}$$

Moreover, the *metallurgical balance* equation for any chemical compound  $\varphi$  is:

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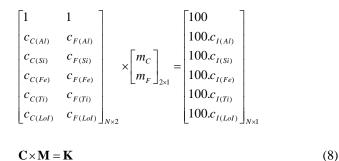
 $c_{C(\varphi)}.m_C + c_{F(\varphi)}.m_F = c_{I(\varphi)}.m_I$ (5)

Therefore, for all the chemicals of interest, the following set of equations is derived from (4) and (5):

$$\begin{cases} m_{C} + m_{F} - m_{I} = 0 \\ c_{C(AI)}.m_{C} + c_{F(AI)}.m_{F} - c_{I(AI)}.m_{I} = 0 \\ c_{C(Si)}.m_{C} + c_{F(Si)}.m_{F} - c_{I(Si)}.m_{I} = 0 \\ c_{C(Fe)}.m_{C} + c_{F(Fe)}.m_{F} - c_{I(Fe)}.m_{I} = 0 \\ c_{C(Ti)}.m_{C} + c_{F(Ti)}.m_{F} - c_{I(Ti)}.m_{I} = 0 \\ c_{C(LoI)}.m_{C} + c_{F(LoI)}.m_{F} - c_{I(LoI)}.m_{I} = 0 \end{cases}$$
(6)

The goal would be to solve (6) for  $\{m_C, m_F, m_I\}$ , by knowing the content values  $\{c_{C(\varphi)}, c_{F(\varphi)}, c_{I(\varphi)}\}$  for all chemicals, and then calculate the metallurgical recovery by (1). Nevertheless, it's not possible to find an unique solution  $\{m_C \neq 0, m_F \neq 0, m_I \neq 0\}$ for (6), because it is a homogeneous set of equations and the chemical content values have some measurement errors, so that the only possible solution would be the trivial solution  $\{m_C = 0, \dots, m_C = 0\}$  $m_F = 0, m_I = 0$ }. Clearly, if  $\{\hat{m}_C, \hat{m}_F, \hat{m}_I\}$  is a solution of the set, then  $\{k.\hat{m}_{c}, k.\hat{m}_{F}, k.\hat{m}_{I}\}$ , where k is a positive real number, is also a solution. In other words, there will be infinite solutions, and any particular solution will be found only if one of the three masses  $\hat{m}_C$ ,  $\hat{m}_F$  or  $\hat{m}_I$  is specified (measured), allowing to solve the set of equations for the two others. Fortunately, the terms  $m_C/m_I$  and  $m_F/m_I$  in (2) and (3) make unnecessary to know the actual values of these masses. allowing to work in percent basis, by setting  $m_l = 100\%$  and then solving the set of equations for  $\{m_C, m_F\}$ . By this way, equation (6) can be rewritten as:

or in matrix form:



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where C is the matrix of output concentrations, M is the

vector of output masses (to be determined), **K** is the vector of input concentrations, N = 6 is the number of equations in (7), and  $m_C$  and  $m_F$  are in percent basis.

Now, the goal is to solve (8) to find  $\mathbf{M} = [m_C \ m_F]^{\mathrm{T}}$ .

#### IV. SOLVING THE METALLURGICAL BALANCE PROBLEM BY OPTIMIZATION

Due to the fact that the chemical content values  $\{c_{C(\varphi)}, c_{F(\varphi)}\}$  in (8) have some measurement errors, and that **C** is not a square matrix, the best way to solve (8) for **M** is by using an optimization method, like the Least Squares method [4;5;6;7]. This is explained in the following.

Let  $\hat{\mathbf{M}}$  denotes an estimate of  $\mathbf{M}$ , and  $\hat{\mathbf{K}} = \mathbf{C} \times \hat{\mathbf{M}}$  the corresponding estimate of  $\mathbf{K}$ , according to (8). The estimation error is given by:

$$\mathbf{E} = \hat{\mathbf{K}} - \mathbf{K} = \mathbf{C}\hat{\mathbf{M}} - \mathbf{K} \tag{9}$$

Each component  $e_i$  in vector **E** is the error between the estimated value  $\hat{k}_i$  in  $\hat{\mathbf{K}}$  and its corresponding measurement  $k_i$  in **K**. As an indicator of the quality of the estimate  $\hat{\mathbf{M}}$ , one considers the mean squared error, denoted by *J*:

$$J = \frac{1}{N} \sum_{i=1}^{N} e_i^2 = \frac{1}{N} \|\mathbf{E}\|^2 = \frac{1}{N} \mathbf{E}^{\mathrm{T}} \mathbf{E}$$
$$J = \frac{1}{N} (\mathbf{C} \hat{\mathbf{M}} - \mathbf{K})^{\mathrm{T}} (\mathbf{C} \hat{\mathbf{M}} - \mathbf{K}) = \frac{1}{N} (\hat{\mathbf{M}}^{\mathrm{T}} \mathbf{C}^{\mathrm{T}} - \mathbf{K}^{\mathrm{T}}) (\mathbf{C} \hat{\mathbf{M}} - \mathbf{K})$$
$$J = \frac{1}{N} (\hat{\mathbf{M}}^{\mathrm{T}} \mathbf{C}^{\mathrm{T}} \mathbf{C} \hat{\mathbf{M}} - \hat{\mathbf{M}}^{\mathrm{T}} \mathbf{C}^{\mathrm{T}} \mathbf{K} - \mathbf{K}^{\mathrm{T}} \mathbf{C} \hat{\mathbf{M}} + \mathbf{K}^{\mathrm{T}} \mathbf{K})$$
(10)

The principle of Least Squares states that  $\hat{\mathbf{M}}$  will be the best or optimal estimate if it minimizes the error indicator *J*, that is:

$$\frac{\partial J}{\partial \hat{\mathbf{M}}} = \frac{1}{N} \left( 2 \mathbf{C}^{\mathsf{T}} \mathbf{C} \hat{\mathbf{M}} - 2 \mathbf{C}^{\mathsf{T}} \mathbf{K} \right) = \mathbf{0}$$
$$\frac{\partial^2 J}{\partial \hat{\mathbf{M}}^2} = \frac{1}{N} \left( 2 \mathbf{C}^{\mathsf{T}} \mathbf{C} \right) \ge \mathbf{0}$$
(11)

Therefore, the optimal solution for (10) is given by:

$$\hat{\mathbf{M}} = \left(\mathbf{C}^{\mathrm{T}} \mathbf{C}\right)^{-1} \mathbf{C}^{\mathrm{T}} \mathbf{K}$$
(12)

The solution  $\hat{\mathbf{M}}$  in (12) will only exist if  $\mathbf{C}^{T}\mathbf{C}$  is non-singular (invertible). Normally, the measurement errors in the chemical content values will cause  $\mathbf{C}^{T}\mathbf{C}$  to be non-singular. Equation (11) means that matrix  $\mathbf{C}^{T}\mathbf{C}$  must be non-negative definite.

If one wants that the solution  $\hat{\mathbf{M}}$  makes the first equation in (7) to be exact up to some degree of accuracy, one can consider an extension of the basic Least Squares, called Weighted Least Squares [4,5], which includes a diagonal matrix  $\mathbf{W}$  whose diagonal elements are weights for each individual equation in (7). In this case, the optimal solution is given by:

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$$\hat{\mathbf{M}} = \left(\mathbf{C}^{\mathrm{T}}\mathbf{W}\mathbf{C}\right)^{-1}\mathbf{C}^{\mathrm{T}}\mathbf{W}\mathbf{K}$$
$$\mathbf{W} = \left(w_{ij}\right)_{N\times N} | w_{ij} = 0 \text{ for } i \neq j; w_{ij} = 1 \text{ for } i = j; w_{11} = \lambda \quad (13)$$

where  $\lambda$  should be a high value empirically chosen to make the first equation in (7) as exact as desired. Typical values for  $\lambda$ are  $10^5 \sim 10^9$ . Equation (12) is a particular case of (13), when **W** is an identity matrix, that is, when all individual equations have the same weight, equal to the unity.

Although the Least Squares method is simple, it is very sensible to the presence of *outliers* in the measured data (the chemical content values). Outliers are data values containing high measurement errors, which will impair the accuracy of the solution  $\hat{\mathbf{M}}$  given by (13). Therefore, it's important to assure that the measured chemical content values have a good

Table II Influence of  $\lambda$  on the total mass, for the data from test T-01.

λ	Coarse Mass (%)	Fines Mass (%)	Total Mass (%)
1	74,636	24,960	99,596
100	74,633	24,977	99,610
1.000	74,619	25,088	99,707
1×10 <sup>5</sup>	74,576	25,413	99,989
1×10 <sup>7</sup>	74,575	25,425	100,000
1×10 <sup>8</sup>	74,575	25,425	100,000
1×10 <sup>9</sup>	74,575	25,425	100,000

accuracy. A simple – and limited – way to check the accuracy of the chemical content values is by the magnitude of their residues (Table I). Although a low magnitude of a residue does not mean the absence of high errors, if a residue has a significant magnitude, then at least one chemical content value has a high error. In this case, a more accurate measurement of that chemical content values should be performed.

## V. RESULTS

The computational implementation of the Least Squares method to evaluate (13) was done in VBA<sup>TM</sup> (Visual Basic for Applications), for use in MS-Excel<sup>TM</sup>.

Firstly, it was investigated the influence of  $\lambda$  on the solution  $\hat{\mathbf{M}}$ , according to (13). Several values for  $w_{11} = \lambda$  were tried, in order to satisfy the first equation in (7). This was achieved for  $w_{11} = 10^8$ , or higher. Table II shows some results, for the data from test T-01 in Table I. Note that when the total mass reaches the ideal value 100%, the coarse and the fine solids masses remain unchanged even if  $\lambda$  is further increased.

Upon verified the influence of  $\lambda$  on the results, it was fixed to  $\lambda = 10^8$ . Then, the estimate of  $\hat{\mathbf{M}}$  and its respective error indicator *J* were calculated for all the measurement tests, as shown in Table III. The calculated masses of ore in the coarse and fines streams are expressed in percent of the feeding stream, assumed as 100% according to (7). The estimates of  $\hat{\mathbf{M}}$  were then used to calculate the metallurgical recoveries of alumina and silica, according to (2) and (3).

To better understand why the calculated masses in Table III are the "best" or "optimal" estimates for  $\hat{\mathbf{M}}$ , Fig. 3 shows the error indicator *J* as a function of the masses  $m_C$  and  $m_F$ , for Test T-01. The shape of *J* depends on matrix **C** and vector **K**, according to equations (9) and (10), and it clearly indicates that *J* has a *global minimum*. This minimum is indicated by a dot in Fig. 4, which is just the optimal estimate for  $\hat{\mathbf{M}}$ , as stated by the principle of Least Squares. Moreover, the straight diagonal gray line in Fig. 4 represents the constraint  $m_G + m_F = 100$ , which is the first equation in (7). The optimal estimate will stay on that line whenever it meets this constraint, by a proper choice of  $w_{11} = \lambda$ .

As the quality of the results (estimates of the coarse and the fine solids mass) are influenced by the quality of the data (measured chemical content values), and considering that the

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ESTIMATES OF THE COARSE AND FINE SOLIDS MASSES, ERROR INDICATOR J, AND METALLURGICAL RECOVERIES OF ALUMINA AND SILICA.

					ry to the Stream	Recovery to the Fines Stream	
Test	Stream	Calculated Mass (%)	J	$r_{c} Al_{2}O_{3}(\%)$	r <sub>c</sub> Si <sub>2</sub> O <sub>2</sub> (%)	$r_F Al_2O_3(\%)$	r <sub>F</sub> Si <sub>2</sub> O <sub>2</sub> (%)
T-01	Coarse Fines	74,575 25,425	2.814,930	79,992	61,092	21,664	38,266
T-02	Coarse Fines	68,166 31,834	3.452,206	73,639	51,838	27,736	46,178
T-03	Coarse Fines	54,018 45,982	297,327	59,810	37,013	40,946	63,950
T-04	Coarse Fines	64,919 35,081	3.795,958	72,200	53,371	30,316	50,777
T-05	Coarse Fines	49,527 50,473	1.999,205	55,855	32,453	46,064	70,199
T-06	Coarse Fines	64,781 35,219	4.638,557	69,540	53,610	32,804	55,755
T-07	Coarse Fines	84,834 15,166	1.538,745	87,996	77,679	13,311	26,745
T-08	Coarse Fines	92,958 7,042	305,100	94,782	87,073	5,652	14,243
T-09	Coarse Fines	21,116 78,883	4.682,674	24,767	13,566	78,289	90,108

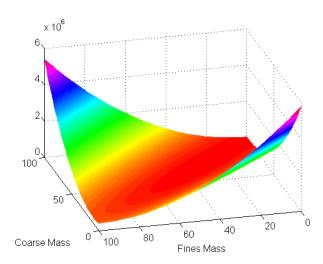


Fig. 3. Shape of the error indicator J, for the data from test T-01.

sum of the concentrations of all the chemicals is not equal to the ideal value 100% (Table I), the following question arose: *as the residue values cause the sum of the measured chemical content values to be exactly 100%, will the results be improved if the residue values are also considered in the computations?* To answer this question, one needs to include the following equation into (7):

$$c_{C(Residue)}.m_C + c_{F(Residue)}.m_F = 100.c_{I(Residue)}$$
(14)

This is like to consider the residues as an additional chemical compound that allows the sum of the measured chemical content values to be exactly 100%.

The results obtained for  $\hat{\mathbf{M}}$  after including (14) in (7) are

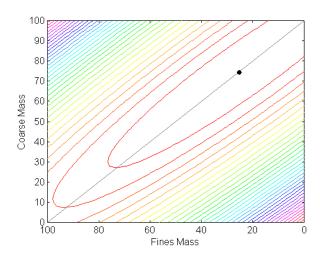


Fig. 4. Level curves of J with the point at which J is a minimum.

shown in Table IV, together with the results from Table III, for better comparison. The value of *J* increased significantly for almost all the tests, except for T-01 and T-08. The mean and the standard deviation of *J* for all the nine tests, indicate that the estimates of  $\hat{\mathbf{M}}$  are normally impaired when the residue values are taken into account in the computations. Therefore, the final optimal estimates of  $\mathbf{M} = [m_C m_F]^T$  are those calculated without the residues.

### VI. CONCLUSION

ical This article presented the application of the Least Squares method to estimate optimal values of the mass partition performed by a centrifugal separator, according to its material are balance equations, in order to evaluate its metallurgical TABLE IV

Influence of the residue values on the estimates of  $\, \hat{M}$  .

		Computa (without res	ation #1 idue values)	Computation #2 (with residue values)		
Test	Stream	Calculated Mass (%)	J	Calculated Mass (%)	J	
T-01	Coarse Fines	74,575 25,425	2.814,930	74,824 25,176	2.524,507	
T-02	Coarse Fines	68,166 31,834	3.452,206	68,690 31,310	4.395,679	
T-03	Coarse Fines	54,018 45,982	297,327	54,260 45,740	665,319	
T-04	Coarse Fines	64,919 35,081	3.795,958	63,786 36,214	10.522,246	
T-05	Coarse Fines	49,527 50,473	1.999,205	50,479 49,521	5.498,442	
T-06	Coarse Fines	64,781 35,219	4.638,557	66,313 33,687	12.693,079	
T-07	Coarse Fines	84,834 15,166	1.538,745	85,317 14,683	2.539,722	
T-08	Coarse Fines	92,958 7,042	305,100	92,959 7,041	255,560	
T-09	Coarse Fines	21,116 78,883	4.682,674	21,348 78,652	13.993,404	
		mean std.dev	2.613,856 1.686,356	mean std.dev	5.898,662 5.212,791	

recovery of alumina and silica. The methodology presented should be useful when it's not feasible or impractical to measure the actual mass values processed by the equipment. In this case, one can simply consider the masses as a percent value of the input stream, according to equation (7). The methodology can also be used for other types of equipments with similar processing characteristics.

Although the main result of interest is the mass partition estimate  $\hat{\mathbf{M}}$ , the error indicator J is also an important parameter, as it measures the quality of the estimate. Besides the usual formulation of the Least Squares method presented in this article, an alternative formulation based on Lagrange Multipliers can also be used to solve the optimization problem presented. The user can choose either method for use depending on his familiarity with the mathematical formulations, the complexity of the application problem, and the computational resources to implement the method.

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